

(Formerly Known as XO INFOTECH LIMITED)

CIN NO: L72900GJ1994PLC069823

## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Prashant AbhaykumarMaha Compliance Officer of the company, have examined the following compliance requirement of Saral Mining Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	-
2.	Whether control exists as to who can access the SDD for read/write alongwith the names and PAN of such person?	Yes	-
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	-
4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	-
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	-
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	-
8.	Whether the database has been maintained internally?	Yes	-
9.	Whether audit trail is maintained?	Yes	-
10.	Whether time stamping is maintained?	Yes	-
11.	Whether the database is non-tamperable?	Yes	-
12.	Any other measures to ensure non-tamperability of the Database?	Yes	-

The number of days for which non-compliance was observed: NA

Further I also confirm that the Company was required to capture 1 number of events during the quarter ended on 31<sup>st</sup> March, 2023 and has captured 1 number of the said required events.

For, Saral Mining Limited

*Prashant*

**Prashant AbhaykumarMaha**  
Compliance Officer of the Company



Date: 20.04.2023

Place: Ahmedabad

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